Approved for use through 10/31/2002, OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 7669 Attorney Docket No. First Inventor Kathryn M. Carleson 000000000000 PET TOYS AND METHOD AND APPARATUS FOR **MANUFACTURE** 399896522 (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. Assistant Commissioner for Patents ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) Specification [Total Pages | 21 (preferred arrangement set forth below) Specification 3. 1 Computer Readable Form (CRF) - Descriptive title of the invention b. Specification Sequence Listing on: - Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention Statements verifying identity of above copies Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description 9. Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement Power of - Abstract of the Disclosure (when there is an assignee) Attorney [Total Sheets 8 English Translation Document (if applicable) 4. 1 Drawing(s) (35 U.S.C. 113) Copies of IDS Information Disclosure 12. 5. Oath or Declaration [Total Pages Citations Statement (IDS)/PTO-1449 Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed) **Preliminary Amendment** Return Receipt Postcard (MPEP 503) (Should be specifically itemized) DELETION OF INVENTOR(S) Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR Nonpublication Request under 35 U.S.C. 122 16. 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 17. Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Group Art Unit: ocece e an accesana accesa e emag 31253 (Insert Customer No. or Altach bar code label here) **|** Customer Number or Bar Code Label Correspondence address below Name Address City State Zip Code Country Telephone Fax

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Registration No. (Attorney/Agent)

26,226

07/16/2003

Date

M. Reid Russell

Name (Print/Type)

Signature

PTO/SB/17 (01-03)
Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| FEE TRANS | • | Compl te if Known | | | | | | | |
| I EE INAM | SIAII I I W | L | Appli | cation | Numb | per | | | |
| for FY 2003 Effective 01/01/2003. Patent fees are subject to annual revision. | | | | Date | | | | | |
| | | | | First Named Inventor | | ntor K | Kathryn M. Carleson | | son |
| | | | Exan | niner N | lame | İ | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | | Art U | nit | | | | | |
| TOTAL AMOUNT OF PAYMENT | (\$) 415.00 | | Atton | ney Do | ocket N | No. | 7669 | | |
| METHOD OF PAYMENT (check all that apply) | | | | FEE CALCULATION (continued) | | | | | |
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| Check X Credit card Money Other None | | | 3. ADDITIONAL FEES | | | | | | | |
| Deposit Account: | | | Large Entity Small Entity | | | | | | | |
| Deposit Account | ACCOUNT. | | | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid | |
| Number | | | | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | | |
| Deposit Account Name | | | | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | | |
| | ioner is auth | norized to: (check all that a | npoly) | 1053 | 130 | 1053 | 130 | Non-English specification | | |
| Charge fee(s) indicated below Credit any overpayments | | 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | <u> </u> | | | |
| Charge any additional fee(s) during the pendency of this application | | | 1804 | 920* | 1804 | 920• | Requesting publication of SIR prior to Examiner action | | | |
| Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. | | | 1805 | 1,840* | 1805 | 1,840° | Requesting publication of SIR after Examiner action | | | |
| FEE CALCULATION | | | 1251 | 110 | 2251 | 55 | Extension for reply within first month | | | |
| 1. BASIC FILING FEE | | 1252 | 410 | 2252 | 205 | Extension for reply within second month | | | | |
| Large Entity | Small Entity | _ | | 1253 | 930 | 2253 | 465 | Extension for reply within third month | | |
| Fee Fee Code (\$) | Fee Fee Code (\$) | Fee Description | Fee Paid | 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | | |
| 1001 750 | 2001 375 | Utility filing fee | β75.0 ρ | 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | | |
| 1002 330 | 2002 165 | Design filing fee | | 1401 | 320 | 2401 | 160 | Notice of Appeal | | |
| 1003 520 | 2003 260 | Plant filing fee | | 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | | |
| 1004 750 | 2004 375 | Reissue filing fee | | 1403 | 280 | 2403 | 140 | Request for oral hearing | | |
| 1005 160 | 2005 80 | Provisional filing fee | | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | | |
| | | SUBTOTAL (1) (\$) ³ | 75.00 | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | | | 1,300 | 2453 | | Petition to revive - unintentional | | | | |
| Total Claims 17 -20** = X See From below Fee Paid | | 1501 | | 2501 | | Utility issue fee (or reissue) | —— | | | |
| | | 1502 | 470 | 2502 | | Design issue fee | | | | |
| | | 1503 | 630 | 2503 | | Plant issue fee | | | | |
| Claims Multiple Depe | | v | | 1460 | 130 | 1460 | | Petitions to the Commissioner | | |
| Large Entity | Small Entir | h | | 1807 | 50 | 1807 | | Processing fee under 37 CFR 1.17(q) | | |
| Fee Fee | Fee Fee | | | 1806 | 180 | 1806 | | Submission of Information Disclosure Stmt | | |
| Code (\$) | Code (\$) | • Claires is sures of 20 | _ | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | 40.00 | |
| 1202 18 1201 84 | 2202 2201 | 9 Claims in excess of 2042 Independent claims in | | 1809 | 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR 1.129(a)) | | |
| 1203 280 | 2203 14 | 40 Multiple dependent cla | aim, if not paid | 1810 | 750 | 2810 | 375 | For each additional invention to be | | |
| 1204 84 | 2204 | 42 ** Reissue independe over original patent | nt claims | 1801 | 750 | 2801 | 375 | examined (37 CFR 1.129(b)) Request for Continued Examination (RCE) | | |
| 1205 18 | 2205 | 9 ** Reissue claims in e | | 1802 | 900 | 1802 | | Request for expedited examination | | |
| and over original patent | | | Other fee (specify) | | | | | | | |
| SUBTOTAL (2) (\$) | | | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00 | | | | | | | |
| **or number | r previously pa | aid, if greater; For Reissue: | s, see above | | | | | 30BIOIAL(3) (\$)40. | 00 | |

| SUBMITTED BY | | | | (Complete | (if applicable) |
|-------------------|-----------------|-----|-------------------------|-----------|-----------------|
| Name (Print/Type) | M. Reid Russell | 111 | Registration No. 26,226 | Telephone | 435-674-5739 |
| Signature | Maril lumi | | | Date | July 16, 2003 |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.